

FORM PTO-1390 (REV 12-2001)		ATTORNEY DOCKET NUMBER 1592-4043
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.51) TBA 10/500926
INTERNATIONAL APPLICATION PCT/KR03/00021	INTERNATIONAL FILING DATE 07 January 2003 (07.01.03)	EARLIEST PRIORITY DATE CLAIMED 08 January 2002 (08.01.02)
TITLE OF INVENTION STRUCTURE OF CANOPY		
APPLICANT(S) FOR DO/EO/US Dae-Hwan KIM		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English translation of the International application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11. to 20. below concern document(s) or information included.		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, with a copy of all cited references. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input checked="" type="checkbox"/> English specification and claims in U.S. format (Specification: 14 pgs., Claims: 5: pgs. and Abstract: 1 pg.) 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825 with paper copy of sequence listing: ____ pgs. and Sequence Listing Statement. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or Information:		
Copies of: PCT Request document; Forms: PCT/IB/301; PCT/IB/304; PCT/IB/308; PCT/ISA/210; PCT/ISA/220; PCT/IB/332; PCT/IPEA/416; PCT/IPEA/408; PCT/IPEA/409; and published PCT application – Publication Number WO 03/058010.		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) TBA 10/500926		INTERNATIONAL APPLICATION NO. PCT/KR03/00021		ATTORNEY'S DOCKET NO. 1592-4043	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO...\$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO.....\$770.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$730.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$----	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	11 - 20 =	0	x \$18.00	\$----	
Independent claims	1 - 3 =	0	x \$86.00	\$----	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$290.00	\$----	
TOTAL OF ABOVE CALCULATIONS =				\$1,080.00	
Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$540.00	
SUBTOTAL =				\$540.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$-----	
TOTAL NATIONAL FEE =				\$540.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$-----	
TOTAL FEES ENCLOSED				\$540.00	
				Amount to be refunded:	\$
				charged	\$

a. ☒ A check in the amount of \$540.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500 (order no. 1592-4043). A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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10/500926

DT04 Rec'd PCT/PTO 08 JUL 2004

Docket No. 1592-4043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dae-Hwan KIM

Group Art Unit: TBA

Serial No.: TBA

Examiner: TBA

Filed: HEREWITH

For: STRUCTURE OF CANOPY

EXPRESS MAIL CERTIFICATE

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: DO/EO/US

Express Mail Label No.: EV 511405795 US

Date of Deposit: July 8, 2004

I hereby certify that the following attached paper(s) and/or fee

1. Transmittal Form PTO-1390 (2 pages + duplicate);
2. Information Disclosure Statement with form PTO 1449 with cited references;
3. English specification and claims in U.S. format (Specification: 14 pgs., Claims: 5: pgs. and Abstract: 1 pg.);
4. Copies of: PCT Request document; Forms: PCT/1B/301; PCT/IB/304; PCT/IB/308; PCT/ISA/210; PCT/ISA/220; PCT/IB/332; PCT/IPEA/416; PCT/IPEA/408; PCT/IPEA/409; and published PCT application – Publication Number WO 03/058010;
5. Check in the sum of \$540.00; and
6. Return receipt postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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